

JJSA Advisors, Ltd.
Privacy Policy Statement
Appendix D

JJSA Advisors, Ltd., an independent financial advisory firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you or receive from other firms in connection with any of the financial services provided by JJSA Advisors, Ltd. We have never disclosed information to nonaffiliated third parties, except as permitted by law, and do not anticipate such a change in firm policy. We would be prohibited under the law from doing so without advising you first. As you know, we use health and financial information that you provide to help you meet your personal financial goals while guarding against real or perceived infringements of your rights of privacy. With regard to health information, we may not share your personal information without asking you for your permission. This is called your right to “opt in” such information sharing.

We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share information about you with a brokerage firm in order to execute securities transactions on your behalf, or so that our firm can discuss your financial situation with your accountant or lawyer.)

We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about your health to the extent that it is needed for the planning process, information about transactions between you and third parties, and information from consumer reporting agencies.

For unaffiliated third parties that require access to your personal information, including financial services companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted under law.

We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.

Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities law, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.

JJSA Advisors, Ltd. reserves the right to change the policy at any time and will inform you of any changes.