

## PERSONAL INFORMATION

Name \_\_\_\_\_

Spouse \_\_\_\_\_

Minor(s) \_\_\_\_\_

Address \_\_\_\_\_

Marital Status \_\_\_\_\_

Home Phone \_\_\_\_\_ Email \_\_\_\_\_

Business Phone \_\_\_\_\_ Cellular Phone \_\_\_\_\_

Birthday \_\_\_\_\_ Spouse Birthday \_\_\_\_\_

SSN# \_\_\_\_\_ Spouse SSN# \_\_\_\_\_

Minor Birthday \_\_\_\_\_ Minor Birthday \_\_\_\_\_

Minor SSN# \_\_\_\_\_ Minor SSN# \_\_\_\_\_

Employer \_\_\_\_\_

Employer Address \_\_\_\_\_

Type of Business \_\_\_\_\_

Position \_\_\_\_\_

Years Employed \_\_\_\_\_

Spouse Employer \_\_\_\_\_

Spouse Employer Add \_\_\_\_\_

Spouse Type of Business \_\_\_\_\_

Spouse Position \_\_\_\_\_

Spouse Years Employed \_\_\_\_\_

Power of Attorney \_\_\_\_\_

Drivers License

# \_\_\_\_\_ State \_\_\_\_\_ Issue Date \_\_\_\_\_ Expiration Date \_\_\_\_\_

# \_\_\_\_\_ State \_\_\_\_\_ Issue Date \_\_\_\_\_ Expiration Date \_\_\_\_\_

Date \_\_\_\_\_

Financial Information

Bank Account \_\_\_\_\_  
Annual Income \_\_\_\_\_  
Net Worth \_\_\_\_\_  
Liquid Assets \_\_\_\_\_

Tax Bracket (check one)

- Low (0-20%)
- Med (20-30%)
- High (30%+)

Risk Tolerance (check one)

- Conservative
- Moderately Conservative
- Moderate
- Moderately Aggressive
- Aggressive

Investment Objective (check one)

- Capital Preservation
- Income & Growth
- Income
- Growth
- Speculation

Investment Time Horizon \_\_\_\_\_ years

Investment Experience

<u>Investments</u>	<u>Years Experience</u>	<u>Current Holdings</u>
Mutual Funds/ETFs	_____	\$ _____
Annuities	_____	\$ _____
Stocks	_____	\$ _____
Bonds	_____	\$ _____
Alternatives	_____	\$ _____
Other*	_____	\$ _____

\*If you have investment experience in other products, please list below

\_\_\_\_\_  
\_\_\_\_\_

Liquidity Needs (check one)

- Very Important
- Important
- Somewhat Important
- Does not Matter

*Ability to quickly and easily convert to cash all or a portion of the investments without experiencing significant loss in value.*