

## **JJSA Advisors, Ltd. Privacy Policy Statement**

**JJSA Advisors, Ltd.**, an independent financial advisory firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest confidence. These records include all personal information that we collect from you or receive from other firms in connection with any of the financial services provided by JJSA Advisors, Ltd. We never disclosed information to nonaffiliated third parties, except as permitted by law. As you know, we use health and financial information that you provide to help you meet your personal financial goals while guarding against real or perceived infringements of your rights of privacy. In addition to the information collected, during the course of our relationship we may also collect a variety of non-public personal information from other sources. What we collect may include:

- Information we receive from you on the client agreement form and account applications. This information may include your name, address, phone number, email address, Social Security number and date of birth, as well as details about your interests, investments, and investment experience;
- Information about your transactions with us or others, including your financial representative;
- Information we receive from our correspondent clearing firm, and custodians with respect to your account(s);
- Medical information submitted as part of an insurance application such as a traditional life or variable life policy;
- Information received from service bureaus or other third parties.

### **Limitations and Controls regarding sharing customer information**

JJSA Advisors, Ltd. does not disclose non-public personal information about you to anyone, except as permitted by law. Information is only shared to the extent that is prudent for JJSA Advisors to offer you products and services that best meet your investing and/or information protection needs and effect transactions that you request or authorize. We may disclose the information we collect to companies that perform administrative services on our behalf, product sponsor companies, parent company and subsidiaries, custodians, certain third-party data management providers, transfer agents, data protection servicer, and when it is required by law to regulatory or law enforcement authorities. As stated in our agreements, these companies will use this information only for the services for which we have specified, and are contractually obligated not to use or share this information for any other purpose.

### **Additionally, JJSA Advisors:**

- Provides training to all employees regarding the protection and confidentiality of client information;
- Maintains physical, electronic and procedural safeguards that comply with federal standards to protect this information;
- Will under no circumstances sell any information to any person or entity.
- We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

JJSA Advisors is committed to keeping your customer information secure, accurate, and current. This commitment also extends to those individuals who are no longer active customers. If you close your account or are in the process of transferring your investments, we may share your information with the new broker/dealer or custodian that you or your financial representative select. Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities law, and consistent with the CFP Board Code of Ethics and Professional Responsibility. After this required period of record retention, all such information will be destroyed.

JJSA Advisors reserves the right to change the policy at any time and will inform you of any changes as they occur.